

## REMARKS

This Preliminary Amendment cancels, without prejudice, original claims 1-14 in the underlying PCT Application No. PCT/EP99/05664, and adds new claims 15-28. The new claims conform the claims to U.S. Patent and Trademark Office rules and do not add new matter to the application.

The amendments to the specification and abstract reflected in the substitute specification are to conform the specification and abstract to U.S. Patent and Trademark Office rules, and do not introduce new matter into the application.

The underlying PCT Application No. PCT/EP99/05664 includes an International Search Report, issued February 4, 2000, a copy of which is included. The Search Report includes a list of documents that were considered by the Examiner in the underlying PCT application.

The underlying PCT Application No. PCT/EP99/05664 also includes an International Preliminary Examination Report, issued December 13, 2000, a copy of which is included, including a translation.

Applicants assert that the present invention is new, non-obvious, and useful. Prompt consideration and allowance of the claims are respectfully requested.

Respectfully Submitted,

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[2345/146]

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] OPTICAL COMMUNICATIONS LINK

[ ]

[Technical ] Field of the Invention

The present invention [is directed] relates to a movable optical communications link having at least one optical fiber[,]; in particular, for use in transmitting  
5 information or performing interferometric measurements.

Background of the Invention

10 Optical fiber links used to transmit information via light have significant advantages, both for long transmission links in telecommunications, as well as for short transmission links inside buildings, vehicles, and machines, not to mention in electronic calculating  
15 machines, since they ensure high data transmission density accompanied by low power losses. Due to their thin, flexible, but mechanically very durable construction, incoming optical fiber lines and outgoing optical fiber lines are beneficial, particularly for  
20 connecting optical sensors for measuring physical parameters, such as pressure and temperature, etc. In addition, unlike electrical connections, [they] such optical fiber links cannot cause any electrical sparkovers or short circuits. The high transmission  
25 capacity of the optical fibers makes it possible to modify or replace the sensors and measuring devices without having to replace the communication links. This can result in considerable cost savings in vehicles, buildings, machines, or production facilities. There is  
30 often the need for optical fiber links to be mechanically movable, such as when installed in robots. [I] Further, in

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buildings and vehicles[, as well], one frequently encounters motion among components due to strain or expansion.

5 Therefore, optical fiber links for transmitting information are always of great benefit when there is a need to transmit high information densities and a mechanically flexible connection is required, since the distance between the sender and receiver of the  
10 information varies as a function of time.

[Here, the problem]A difficulty that arises that significant changes in the position of the transmitter and/or of the receiver, and, in particular, in their  
15 relative distance spanned by optical communication links constituted as simple cable, can cause the entire system, such as a remote-controlled robot, to be obstructed by the requisite reserved length of cable. [It can happen that individual]Individual components, which communicate  
20 with one another via an optical communications link, can become mechanically blocked by loops of cable. Another [problem]difficulty is that one can end up with a "cable salad".

25 Another [problem]difficulty encountered in response to variations in the position and distance of transmitters and/or receivers [has to do with]involves the nature of the optical transmission signal[:].

30 In communications transmissions of high quality and transmission frequency, it is necessary to control the polarization state of the optical information flow in the optical\_[ ]fiber, as well as in the other optical components. In the case of coherent transmissions, for  
35 example, phase-coherent mixing of the optical information flow with other light sources must be carried out.

[This] Such phase-coherent mixing is only optimal when the polarization states are substantially identical. When working with high bit-rate transmissions, the polarization mode dispersion of the fibers limits the reception quality, and transmission frequency can only be increased by carefully controlling the polarization. In many other optical components as well, the performance is a function of the polarization of the light.

Generally, the polarization state of the light in an optical fiber is not constant. Each glass fiber has a certain elliptical birefringence, so that the polarization of the light continually changes in the fiber. This variation propagates through to the end of the fiber, and, since it is dependent upon the spatial geometry of the fiber curve, the polarization state at the output end of a moving fiber varies with the motion.

In known methods [heretofore, ] this polarization effect [is] can be avoided in that the optical communications transmission takes place in one of the intrinsic modes of a polarization-maintaining fiber. These polarization-maintaining fibers are characterized by pronounced birefringence, so that there is virtually no coupling over between the two polarization modes in the fiber. Since a change in the polarization of the light in an optical fiber is\_ [ ] a phase shift effect between the intrinsic modes of the light, the polarization mode dispersion does not occur when the light in the fiber propagates through permanently in one intrinsic mode only.

The drawback of this method is that the polarization-maintaining fibers are expensive. Moreover, the light must be launched at the input ends of the polarization-maintaining fiber in a defined polarization

state.

[Technical Object]Summary of the Invention

5 The [object of the ]present invention [is, therefore, to  
]provides an optical communications link which [will]can  
overcome the [described ]above-described difficulties and  
problems. [In particular, t]To ensure a high transmission  
10 quality, the polarization state of the light should not  
depend substantially on changes in the form of the  
communications link and, therefore, on changes in the  
position of the transmitters and receivers. In addition,  
the communications link should be easily adaptable to  
changes in form, in particular to variations in length,  
15 but, it in this context, always be characterized by a  
straightforward arrangement.

[Detailed Description of]In the [I]present invention[

20 The objective is achieved by an], the optical  
communications link having at least one optical fiber, in  
particular for communications transmission, where the  
optical fiber is repeatedly bent [or curved and, in the  
process, can be wound in a helical shape, alternating as  
25 a right-hand and left-hand helix, fiber sections having a  
right and left curvature being distributed in such a way  
over the communications link that the average torsion of  
the fiber over the communications link is approximately  
zero.

30 [Thus, the]The optical communications link of the present  
invention [is]can be designed [in such a way]so that the  
sensitivity of the polarization state of the optical  
transmission signal to changes in the form of the  
35 communications link and, i.e., of the optical fibers, is  
substantially compensated. This is assured by the present

invention in that the optical fiber is repeatedly bent,  
fiber sections having left-hand and right-hand curvature  
being distributed in such a way over the communications  
link that the average torsion of the fiber over the  
5 communications link is more or less zero. Preferably,  
this also holds for individual subsections of the fiber,  
so that left and right curvatures are uniformly  
distributed over the fiber. By preference, the fiber is  
wound in a helical shape, alternating with a right-hand  
10 and left-hand helix. Mixed forms having an even meander  
shape are also possible.

The [basis of this]present invention [is]concerns the  
motion-and form-dependent birefringence of an optical  
15 fiber: the linear birefringence is heavily dependent upon  
the ellipticity of the fiber core, less heavily  
dependent upon the bend of the fiber, and hardly  
dependent upon the helical winding, given a large radius  
of the fiber. In contrast, the circular birefringence is  
20 hardly dependent upon the ellipticity of the fiber core  
and on the curve of the fiber, on the other hand, very  
heavily dependent upon the helical winding of the fiber.  
The main reason for the form dependency of the  
polarization state at the output end of an optical fiber  
25 is the considerable dependency of the fiber's optical  
activity upon the exact form of its helical windings. In  
the first approximation, this effect is achromatic and  
does not result in any polarization mode dispersion. It  
is caused by one of the so-called optical Berry phases,  
30 the "spin redirection phase" (R.Y. Chiao, Y.S. Wu, Phys.  
Rev. Lett. 57, 933 (1986)). This Berry phase (or  
geometric phase) is a phase effect produced by the  
structure of the fiber's space curve and not by an  
optical path, as is the case with the normal dynamic  
35 phase of the light. Nevertheless, with respect to  
interference of the light, geometric phases have the same

properties as the normal dynamic phase.

5 The size of the spin redirection phase in a helically wound fiber is equivalent to the solid angle  $\Omega$  that the  $k$  vector ( $k$  corresponds to the propagation constant  $\beta$  in the technical literature) wraps around on the sphere of the light-propagation orientations in the counter clockwise-direction when the light in the fiber is directed through a helical winding. The spin redirection  
10 phase is additive and changes its operational sign when the helical direction of the fiber changes, e.g., from the left-hand to the right-hand helix.

15 To minimize this form-dependent polarization effect, the fiber must be made up of wound fiber sections having alternating winding directions. As an example, the fiber sections are alternately wound to the right and to the left, the space angle, which wraps around the  $k$  vector in the left-hand wound sections, being equivalent to the  
20 space angle that the  $k$  vector wraps around in the right-hand wound sections. In the simplest case, the fiber alternately follows a right-hand and then a left-hand helix, each time with an equivalent length and winding; or right-hand and left-hand wound fiber sections.  
25 of a fixed length alternate with each other.

[T]In an embodiment of the present invention, to reduce the polarization dependency of changes in the form of the fiber link, the sections having right-hand and left-hand  
30 helical winding of the fiber ~~[must]~~should be distributed over the fiber in such a way that, in response to an altered fiber form, the changes  $d\Omega_i$  in the solid angles  $\Omega_i$  of the  $k$  vectors in the  $i$ -th fiber section add up to zero, thus to  $\sum d\Omega_i = 0$ .

35 The variation in the polarization of an optical signal at

the output end of a moving optical communications link having one optical fiber is advantageously reduced in that the optical fiber is repeatedly bent, fiber sections having a right and left curvature being distributed in such a way over the communications link that the average torsion of the fiber over the communications link is approximately zero.

In order to minimize the variation in polarization in the case of changes in the form of only one fiber section, the optical fiber is preferably bent in such a way that the torsion of the subsection averaged over subsections of the communications link is approximately zero. In this context, a subsection is a fiber section which is at least sufficiently long to contain right-hand and left-hand fiber segments, e.g., two successive, individual right-hand and left-hand windings, the torsion of the two sections canceling each other.

[T]In an embodiment of the present invention, the optical fiber [is advantageously] can be coiled with alternating winding direction around an even number of, preferably two, side-by-side carrier elements. In this context, one or a plurality of left-hand windings around one of the carrier elements can follow the corresponding number of right-hand windings around another carrier element.

[To direct the light in the forward and return directions, a]Another embodiment of the communications link provides for two helically wound optical fibers (1, 3, 6) having different winding directions in order to direct the light in the forward and return directions.

In this further embodiment, the communications link has at least two helically wound optical[ ]\_fibers having different winding directions to direct the light in the



forward and return directions. In this context, both optical fibers can be advantageously wound around the same carrier element, the outer winding of the two windings having a somewhat larger coil pitch, so that, in terms of absolute value, the torsion of the forward and return line is more or less equivalent, but with different operational signs.

[Thus]In a further embodiment of the present invention, the communications link[ in accordance with the present invention] permits the transmission of information in moving fibers, with a substantially reduced polarization variation at the output end.

To minimize the effects of the bending- and stress-induced birefringence of the fiber material on the polarization state of the transmission signal, [one should not select ]too small of a winding radius for the optical fibers[. Preferably, it should amount to at least 2 cm, in particular to at least]should not be selected. Preferably, the winding radius for the optical fibers should be to at least about 2 cm, or to at least about 3 cm.

In a further [advantageous ]embodiment of the present invention, the optical fiber [is]can be joined to an elastic carrier material, which, in response to mechanical loading, can permit[s] a change in the form of the transmission line and, in response to the lack of a mechanical load, can retain[s] the optical fiber in its initial curved[ ]\_form.

This communications link of an embodiment of the present invention can make[s] it possible to establish a connection that is compact, yet movable and variable in length, for transferring optical data between a

transmitter and a receiver. In this manner, [one  
minimizes ]any mechanical hindrance to the overall  
device, including the transmitter, receiver and  
communications link, can be minimized. [Furthermore]And,  
5 the output signal [is]can be substantially insensitive to  
any changes in the form of the communications link.

[By preference]In a further embodiment of the present  
invention, the optical fiber [is]can be wound in a  
10 helical shape, e.g., in the manner of a telephone cable.  
In response to stress in the longitudinal direction of  
the helix, i.e., of the meander shape, the communications  
link can be pulled apart in an accordion-like fashion,  
and, in response to cancellation of the stress, again  
15 assumes its compact, initial form.

In another [advantageous further refinement]embodiment of  
the present invention, the optical fiber [is]can be wound  
around at least one elongated carrier element, such as a  
20 cylinder. The carrier element is preferably flexible. As  
an example, the carrier element is a flexible bar.

To realize and stabilize its curved form, the fiber is  
preferably secured to the carrier element in such a way  
25 that it is movable in its wound form, but remains  
stabilized on the carrier element, e.g., in that it is  
flush mounted on the carrier element or embedded between  
the carrier element and a cladding material.

30 [The following is a brief d]Brief Description of the  
[drawing, whose figures show:]Drawings

Figure[s] 1 [through 3 ]shows an example[s] of the  
transmission lines according to  
35 an embodiment of the present  
invention for reducing the

influence of form on the  
polarization of the output  
signal[.

];

5

Figure 2A shows an example of the transmission lines  
according to an embodiment of the present invention  
for reducing the influence of form on the  
polarization of the output signal;

10

Figure 2B shows an example of the transmission lines  
according to an embodiment of the present invention  
for reducing the influence of form on the  
polarization of the output signal; and

15

Figure 3 shows an example of the transmission lines  
according to an embodiment of the present invention  
for reducing the influence of form on the  
polarization of the output signal.

20

#### Detailed Description

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Figures 1 through 3 illustrate examples of transmission  
lines according to embodiments of the present invention  
which are compact, movable, and flexible. Furthermore,  
[they]the transmission lines are designed to minimize the  
influence of the transmission [line's]lines' form on the  
polarization of the output signal. Thus, they are  
especially suited for linking optical transmitters and  
receivers, which are movable with respect to one another,  
for purposes of data communications.

30

35

The top part of Figure 1 shows a detail of such a  
communications link, which is made up of a cylinder 2, as  
a carrier material or carrier element, and of an optical  
fiber 1. Optical fiber 1 is helically wound around

cylinder 2, the direction of the helical winding changing, for instance, in the middle of the cylinder at point B. Thus, in the left part of the communications link, the torsion of the optical fiber is negative, in  
5 the right part, positive, so that the average torsion is more or less zero.

To change the direction of the helical winding on a cylinder, an arc B [must]should be wound. This arc  
10 [is]can be secured, together with the remaining right- and left-hand winding, for example, by adhesive or by tying it to the cylinder, since otherwise it would become detached.

15 To manufacture a long communications link, a plurality of line segments can be joined to one another, as shown in Figure 1. The depicted fiber segment is then a subsection, in which the average torsion is approximately zero.

20 In the lower part of Figure 1, the  $k$  vector of the light launched into the fiber and the corresponding solid angle  $\Omega$  are shown. If  $r(s)$  denotes the space[ ]\_curve described by the fiber as a function of the arc length  $s$ , then  
25 solid angle  $\Omega$  [is]can be derived as a measure for the Berry phase from the torsion  $\tau$  of the space 'curve, as follows ( $s_1$ ,  $s_2$  denote the beginning and end, respectively, of the fiber):

30 
$$\int_{s_1}^{s_2} \tau(s) ds = \Omega \propto \Phi_{\text{Berry}}, \text{ where } k(s_1) = k(s_2)$$

Two further [examples]embodiments of communications links or of sections thereof, in accordance with the present invention, are shown in Figure 2. In Figure 2A, optical

fiber 3 is doubly wound over two cylinders 4, 5. Around cylinder 4, fiber 3 describes a left-hand winding (L), around cylinder 5, a right-hand winding (R). By alternating the two cylinders, a right-hand helical winding and a left-hand helical winding can always alternate with one another.

In this context, glass fiber 3 is embedded, similarly to a telephone line, in a material which has dimensional stability, but is highly elastic, so that the incoming line can be pulled apart in accordion-like fashion, but contracts again when the tensional force subsides. In addition, cylinders 4, 5 can themselves be resilient to facilitate a lateral motion of the communications link.

The optical signal can be conducted in the reverse direction through the same glass fiber, however, over a different spectral channel, for example. Since the geometric phase is achromatic, and a right-hand helix (or left-hand helix) remains a right-hand helix (or left-hand helix) when it is propagated through in the opposite direction, the same compensation effect occurs for the optical forward and reverse line as does for the form-dependent polarization fluctuations in the present invention.

In place of two cylinder windings as shown in Figure 2A, the fiber can also be routed over more cylinders, i.e., four cylinders 7, 8, 9, 10. This is shown in Figure 2B. In the case of Figure 2B, right-hand and left-hand loops alternate, each characterized by R or L.

It is also [fundamentally] possible for a plurality of left-hand loops to follow a plurality of right-hand loops in that the fiber is repeatedly wound around a cylinder before it is routed to the next cylinder with an opposite

winding direction. It is crucial here that the formula  $\sum d\Omega_i=0$  remain satisfied, and that the torsion of the entire optical fiber be compensated.

5 The achromaticity of the geometric phase makes it possible to use both white light sources, as well as more or less monochromatic light sources.

10 In the case that the light is directed in the forward and reverse direction through the same communications link, [it is possible to] one can configure two cylinder windings side-by-side, one of these, a right-hand helix, functioning as an incoming line, and the other, a left-hand helix, as a return line. The flexible  
15 claddings, which determine the form elasticity of the line, can be configured separately from one another. However, [they] the flexible claddings of an embodiment of the present invention, are [advantageously] designed as contiguous claddings. This can prevent[s] them from  
20 separating from another, thereby permitting them to jointly participate in the motion of the line, substantially identically.

25 In such a case of a single right-hand helix as a forward (reverse) line and of a single left-hand helix as a reverse (forward) line, the two elastic helical windings 11, 12 can also be wound, one over another, on a single cylinder 13, as shown in Figure 3. Since the outer winding has a somewhat larger diameter, its pitch  
30 [must] should be somewhat greater than that of the inner winding, in order to satisfy the condition  $\sum d\Omega_i=0$ .

35 The incoming and outgoing lines described here [are] can be used, for example, to freely span the distance between a stationary base station, e.g., the measuring or control unit, and the movable sensor, e.g. a telephone receiver

or another sensor; or they are supported by tubes or wires using pull or tension rollers. In this manner, the dependency of the polarization of the transmitted light on the motion of the line is reduced. It is also  
5 beneficial to use lines of the described type having alternating helical winding to provide movable connections of various cable links in the telecommunication nodal points with the aid of short glass fiber lines equipped with plug connectors. These  
10 freely movable lines then introduce a substantially smaller time-related polarization change into the information flow of the transmission link than do the customary loop-type lines. Moreover, they reduce the "cable salad".

15 [Industrial Applicability

]The present invention has industrial applicability in all fields in which optical signals are transmitted via  
20 optical fiber links. [It is advantageously]Embodiments of the present invention can be used for systems having transmitters and receivers of optical signals which experience relative positional changes, and where the quality of the transmission signal is often degraded by  
25 changes in the form of the transmission link.

[ ]

## Abstract

The present invention [is directed to] provides an optical communications link having at least one optical fiber, in particular for communications transmission, where the optical fiber is repeatedly bent[,]. The fiber sections having a right-hand and left-hand curvature [being] are distributed in such a way over the communications link that the average torsion of the fiber is approximately zero. The communications link in accordance with the present invention is compact, flexible, and[, in particular,] variable in length. In addition, it reduces the sensitivity of the polarization state of the optical signal to changes in the form of the communications link.